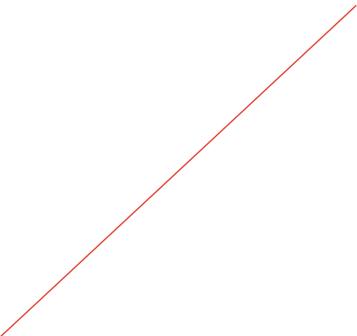




APPENDIX OF  
SUPPLEMENTARY INFORMATION



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# 01 Governance



## Risk Management

### / RISK MANAGEMENT COMMITTEE

The risk committee operates on a quarterly basis and aims to monitor the risk management of the CAP Group. Currently, it includes the participation of two directors: Patricia Núñez (the president) and Jorge Salvatierra, both with extensive experience in the field. For further details, refer to the biographies section of the mentioned directors at the following [link](#).

During its four annual sessions, the committee aims to ensure that the executive sponsors for the 10 risk dimensions of the CAP Group present topics associated with each of these dimensions at least once a year. These topics include the main challenges within each dimension, newly identified triggers, action plans, as well as a review of management outcomes and dimension ad hoc issues.

The executive sponsors have been designated to manage matters that the CAP Group has identified as material topics. They are responsible

for managing the risks and opportunities presented by each topic. This means that there is indeed an integration between the materiality exercise and risk management within the company.

In addition to addressing the risk dimensions specific to the CAP Group, operational companies are requested to share their strategic risks from their most relevant dimensions at least twice a year. The goal is to exchange good practices with the corporate and maintain alignment between management conducted at corporate level and that undertaken in the Operational Companies.

The risk management committee holds the following roles:

- Recommend risk management policy and corporate framework to the board of directors.
- Challenge, validate, and recommend CAP's strategic risk dimensions to the board of directors at least annually.
- Ensure the proper implementation of the risk management framework across all companies within the CAP Group.
- Provide a report to the board of directors after each committee session.
- Diligently report to the board of directors in the event of any contingencies.
- Evaluate and conclude measures and direct implementation action plans executed by CAP.

## / RISK COMMITTEE PRESIDENTS MEETING

This forum takes place quarterly and brings together the presidents of the Risk Committees from all Operational Companies, along with the President of CAP's Risk Committee and members of the administration. Its purpose is to monitor the implementation of the corporate risk management framework within each company of the group, ensuring standardization and the exchange of good practices. During this occasion, which involves an on-site visit by all participants, each company presents their progress in managing their strategic risks for the year, as well as any identified best practices in their risk management.



### Related Roles to the Management of Strategic Risks

- Currently at CAP, the highest-ranking individual dedicated to risk management is the Head of Risk and Management Control, directly dependent to the Strategy Manager.
- Additionally, each operational company has a person in charge of risk management, responsible for managing, monitoring, and reporting risks to both the Board of Directors of their Operational Company and the CAP Risk Committee.

## / RISK MANAGEMENT PROCESS

The risk management process within the CAP Group is conducted in accordance with a Corporate Framework, which is updated periodically and serves as the foundation for risk management implementation across all companies within the group.

This corporate framework consists of five stages and undergoes an annual update based on the evolving situations of each operational company.

01

### Identifying and Prioritizing Risks

The management of each company within the CAP Group conducts an annual assessment of strategic risks and presents them to their risk committee, considering CAP's dimensions.

02

### Assessing Inherent Risk

Evaluation of the impact and probability of inherent risk, i.e., the theoretical

03

### Identifying Controls and Assessing Residual Risk

Defining the impact and probability of each risk while considering the

04

### Defining Action and Mitigation Plans and Assessing Target Risk

Defining the impact and probability of each risk while considering future

05

### Comparing Target Risk with Board Risk Appetite

Based on the Board's definitions of risk appetite, they are compared





## / RISK MANAGEMENT TRAINING

Training sessions were conducted on the topic of strategic risks and the current Corporate Risk Management Framework within the CAP Group during the months of January and June. These sessions were for both members of the Board of Directors and the Administration within CAP S.A. This process was complemented by workshops in which different individuals responsible for or actively involved in strategic risk management could present key topics within their respective areas, fostering the creation of shared knowledge within the team. This structure has been replicated across all Operational Companies.

This has allowed a dynamic that encompasses both theoretical learning and practical application, fostering teamwork among participants directly or indirectly engaged in risk management.

### **The training is typically structured in two stages:**

- **Theoretical Framework:** The concept of strategic risk is introduced and analyzed, along with its associated dimensions, its relevance within the company's administration is emphasized, as well as the protocols and procedures for managing these risks.
- **Individual Presentation:** Each risk dimension's responsible person or "sponsor" will give a presentation with the key challenges within their area of expertise, along with their controls and action plans. This presentation is open to discussion by other team members, who offer additional insights or seek clarifications from the presenter. The risk manager acts as the moderator.

Finally, the session concludes by summarizing the key concepts and conclusions.

## Corporate Affairs

The CAP Group maintains continuous engagement with its stakeholders through various methods to ensure an up-to-date identification of their needs, requirements, and priorities. In this context, the Stakeholder Map serves as one of the key tools used to assess these aspects.

Each operational company within the Group has its own engagement plan, customized to its context and type of activities. For more comprehensive information regarding stakeholder management, please consult page 47 of the 2022 Integrated Report.

Corporate Affairs management within the CAP Group is carried out through the following lines of action:

### → Content and Media Management

- This line of action handles the CAP Group's engagement with the national press, organizes and executes communication milestones, and establishes connections with the media.

### → Public Affairs

- This line of action establishes a governance framework to ensure appropriate participation in public policies related to the activities of the CAP Group. It aligns our activities with various stakeholders in accordance with the principles outlined in the Paris Agreement.

### → Community Engagement

- This line of action is responsible for managing social investment, maintaining proper relationships with the community, and effectively addressing socio-environmental issues.



## / POLICIES

In order to establish a corporate standard and therefore, allow alignment among the companies within the Group regarding Corporate Affairs Management, CAP Group focuses on implementing the following policies:



### → Corporate Communication Policy

This policy establishes guidelines that guide CAP Group's external communication. It also aligns and standardizes internal procedures necessary for managing external communications promptly and efficiently.



### → Corporate Crisis Management Policy<sup>1</sup>

It provides guidelines for managing organizational resilience, preparing the company, anticipating events, and minimizing the negative impact of crises on CAP's reputation.



### → Corporate Social Investment Policy<sup>2</sup>

The objective of this policy is to establish norms, responsibilities, guidelines, and restrictions for decision-making in the design, approval, implementation, recording, measurement, and reporting of different contributions by the companies of the group. These contributions aim to create well-being and shared progress in the territories where the Group operates and those benefiting from the work of CAP Group.

<sup>1</sup> Policy on Update and Approval by the CAP Board.

<sup>2</sup> Policies on Update and Approval by the CAP Board

## / CORPORATE AFFAIRS COMMITTEE

Ongoing engagement with our stakeholders is managed through the Corporate Affairs Committee, an executive body through which CAP Group implements and monitors the implementation of the Corporate Affairs Strategy.

### ROLE OF THE COMMITTEE

The Corporate Affairs Committee's primary objective is to define the communication and engagement strategy of the CAP Group with its various stakeholders.

### FUNCTIONS AND MAIN ACTIVITIES

- Prioritize the requirements and requests of the various stakeholders of CAP Group.
- Define positions and approaches within the context of stakeholder engagement.
- Monitor plans and policies.
- Manage potential crises and emergencies from a communicational perspective.
- Monitor legislation and evaluate changes in risk management processes based on pertinent regulatory impacts.

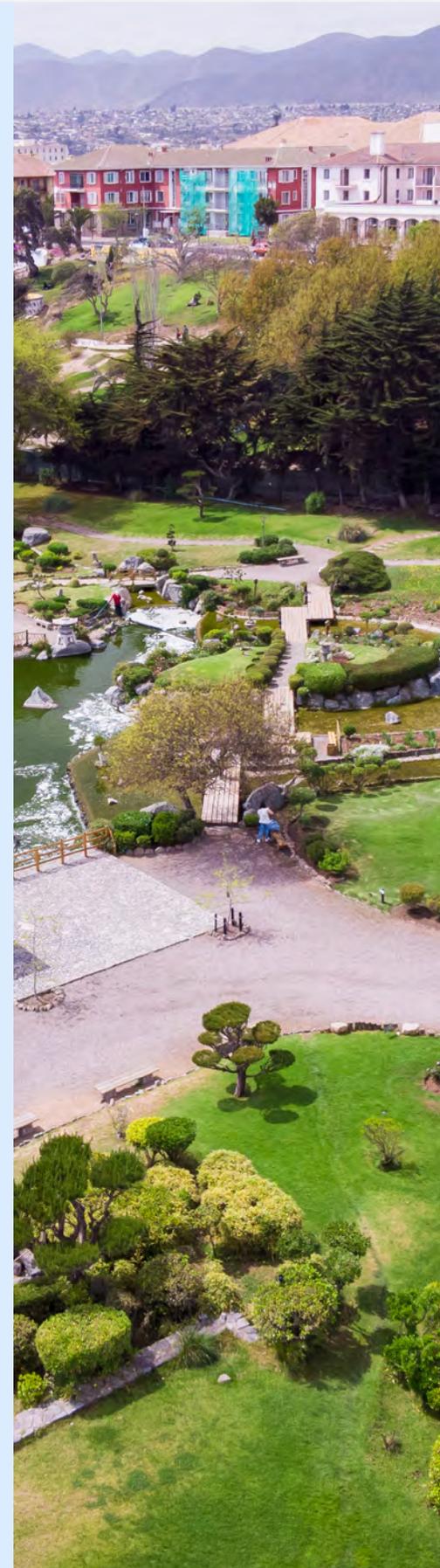
### COMPOSITION

The Committee is led by the Manager of Corporate Affairs and Sustainability. It also includes individuals responsible for Corporate Affairs in the operational companies of the CAP Group. Additionally, key executives from both CAP and its operational companies may attend, along with other invited individuals from various areas of the Company, as required by the topics to be discussed in the respective session.

### FREQUENCY:

The sessions occur on a quarterly basis.

For more details about the Corporate Affairs Committee and the operation of executive meetings and the CAP Board of Directors, refer to the [Corporate Governance Manual for Good Practices](#).



# 02

## Our Sustainability Focus



### Alignment with the Paris Agreement

CAP Group's aspiration is to "Be a group recognized for its sustainable strategy, focused on the development of an industrial value chain based on decarbonization." To achieve this, the CAP Group is actively developing a Decarbonization Roadmap. The current goal is to achieve a 30% reduction in CO<sub>2</sub> emissions by 2030. This reduction serves as a starting point for an ambitious portfolio of projects across our various operational companies.

Furthermore, CAP Group is working on evaluating alternatives to achieve carbon neutrality. Results from the initial phase of this analysis will be available in 2024.



#### ODS

13.3

#### GOAL

Reduce the emissions of Scope 1 and 2 from the Group by 24% by 2030.

#### STARTING POINT

1,266,207

## / GLOBAL COMPACT CHILE

Likewise, The CAP Group actively participates **in the Leading Companies Group for SDG 13 Climate Action through the Global Compact Chile Network**. Our sustainability policy formalizes CAP's commitment to aligning with public policies that promote climate action and decarbonization, in accordance with the principles of the Paris Agreement.

To ensure this, the Corporate Affairs and Sustainability Management conducts a review and monitoring process to evaluate whether CAP Group's engagement with its stakeholders influences the creation and promotion of public policies that align with the CAP Group Strategy, particularly its aspiration, Sustainability Model, and the Paris Agreement.

The United Nations Global Compact, as a special initiative of the UN Secretary-General, calls on companies worldwide to align their operations and strategies with Ten Principles in the areas of human rights, labor, environment, and anti-corruption. Our ambition is to accelerate and amplify the global collective impact of business by upholding the Ten Principles and achieving the Sustainable Development Goals through accountable companies and ecosystems that facilitate change.

Having a presence in over 160 countries and supported by more than 17,000 companies and 3,000 non-business signatories, along with 70 Local Networks, the UN Global Compact stands as the world's largest corporate sustainability initiative. It's one Global Compact that unites businesses for a better world.

In Chile, the Global Compact boasts over 120 corporate partners, including Grupo CAP. It is also part of over 15 multisectoral alliances and oversees 10 Active Working Groups of Leading Companies for the SDGs.

In the section of supplementary documents, the Global Compact- CAP Letter is available.



**Pacto Global**  
Red Chile

## / CLG CHILE

CAP Group is a member of the Corporate Leaders Group for Climate Change (CLG Chile), a distinguished group of leading companies that, together with academia, drive policies and tools to ease the transition to a low-carbon economy. This transition is also aimed at creating sustainable development opportunities for our country, achieved through high-level collaboration, corporate leadership, and business innovation.

The Corporate Leaders Group for Climate Change (CLG) was founded in 2005 in the United Kingdom and has been one of the most consistent voices in Europe advocating for policies that address the effects of climate change while maintaining a business-friendly environment.

In Great Britain, CLG is hosted by the University of Cambridge Institute for Sustainability Leadership, under the patronage of the Prince of Wales.



We hereby confirm that CAP has been a member of CLG Chile, Business Leaders for Climate Action, from 2017 to the present date.

In the section of supplementary documents, CLG- CAP Letter is available.

## / MEETINGS FOR CLIMATE ACTION

All meetings requested by the CAP Group are carried out in accordance with the Chilean Government Lobby Law. Below is a breakdown of the key meetings conducted within the context of the CAP Group's Sustainable Growth Strategy, with a particular focus on climate change issues.

Date	Motive	Public Entity and Relevant Authority
November 21st, 2022	Presentation on Progress in CAP Group's Sustainable Growth Strategy.	Ms. Marcela Hernando, Minister of Mining – Government of Chile
December 16th, 2022	Presentation of progress in CAP Group's Sustainable Growth strategy, including efforts in ESG matters, climate change, and new projects.	Mr. Nicolás Grau, Minister of Economy – Government of Chile
December 22nd, 2022	Presentation on the current and future challenges of Grupo CAP, progress within the context of the sustainable growth strategy, and efforts in environmental management and climate change.	Ms. María Eloísa (Maisa) Rojas, Minister of Environment – Government of Chile
March 10th, 2023	A meeting is requested to provide an update on CAP's green hydrogen project.	Corporation for the Promotion of Production – Government of Chile
June 4th, 2023	Second meeting of the Advisory Council of the Green Hydrogen Industry Development Committee, established by the Production Development Corporation Council, with the aim of accelerating the sustainable development of the green hydrogen industry and its derivatives in Chile.	Green Hydrogen Committee – Advisory Council – Government of Chile

The meetings have been requested and conducted following the internal protocols associated with Interaction with Public Officials:

→ [Conceptual Guide for Public Officials](#)

→ [Interaction Guide with Public Officials](#)

For more information, please refer to the [CAP Group's Compliance Website](#).



## / SUSTAINABILITY COMMITTEE

Sustainability and ESG aspects management within Grupo CAP is carried out through the Sustainability Committee.

### ROLE OF THE COMMITTEE

The Sustainability Committee's main objective is to regularly review the sustainability model and the defined goals in environmental, social, and governance matters.

### FUNCTION AND KEY ACTIVITIES

- Coordinate the measurement of relevant indicators across all involved areas.
- Monitor ongoing projects related to environmental, social, and governance issues.
- Set and monitor the progression of internal indicators and objectives concerning environmental, social, and governance matters.

### COMPOSITION

The Committee is led by the Manager of Corporate Affairs and Sustainability. It also comprises individuals responsible for sustainability in the operational companies of the CAP Group. Moreover, executives from both CAP and its operational companies may attend, alongside other individuals invited from various areas of the Company as needed for the topics to be discussed in the respective session.

### FREQUENCY

The sessions occur on a quarterly basis.

## / INFORMATION FLOW FROM CORPORATE AFFAIRS AND SUSTAINABILITY COMMITTEES

### → Committees

- Corporate Affairs
- Sustainability

### → Strategy and Talent Committee

- Committee comprising several directors, dedicated to addressing issues pertaining to the management of corporate affairs and sustainability. This falls under the overarching framework of CAP's Sustainable Growth Strategy.

### → Board of Directors

- Final instance for approval and decision decisions of the CAP Group, which includes matters related to corporate affairs and corporate affairs and sustainability management.

Within the framework of CAP S.A.'s governance structure, there exists a flow of information and decision-making process designed to ensure effectiveness and strategic alignment.

This process begins at the Executive Committee for Corporate Affairs and Sustainability of the CAP Group, where the most relevant and cross-cutting matters within the group will be addressed. Once thoroughly discussed and analyzed, relevant topics are brought forward to the Strategy and Talent Board Committee of CAP S.A.

At this intermediate stage, the matters undergo analysis and validation. If the proposals are approved by the Board Committee, they gain the necessary support to progress to the final decision-making level: the Board of Directors. Here, the previously evaluated topics are presented for their ultimate consideration and/or definitive approval, supported by the integrity of the decisions made.



### Board of Directors' annual agenda

Source: Good Corporate Governance Practices Manual of the CAP Group.

Furthermore, each instance involved in this process has an annual planning that ensures predictability and the necessary structure for management and decision-making. This structure promotes transparency, accountability, and strategic alignment in all levels of the organization, reaffirming our commitment to making informed decisions consistent with our corporate objectives.

For further details on the operation of executive bodies and the Board of Directors of CAP, please refer to the [Good Governance Practices Manual](#).



## / SUSTAINABILITY GOALS

The CAP Group presently upholds a set of sustainability objectives that harmonize with the organization's overarching, enduring ambitions. Consequently, these objectives have been integrated into the assessment criteria for key executives. The foremost objective, centered around diminishing CO<sub>2</sub> emissions, holds particular prominence due to its alignment with the Group's Sustainable Growth Strategy rooted in the principles of Decarbonization.



### ODS

13.3

### GOAL

Reduce the emissions of Scope 1 and 2 within the Group by 24% by 2030.

### STARTING POINT

1,266,207  
tons of CO<sub>2</sub>, 2020.



### ODS

6.4

### GOAL

Reduce freshwater extraction by 35% by 2030.

### STARTING POINT

Freshwater consumption:  
49,913,080 m<sup>3</sup>,  
in 2021.



### ODS

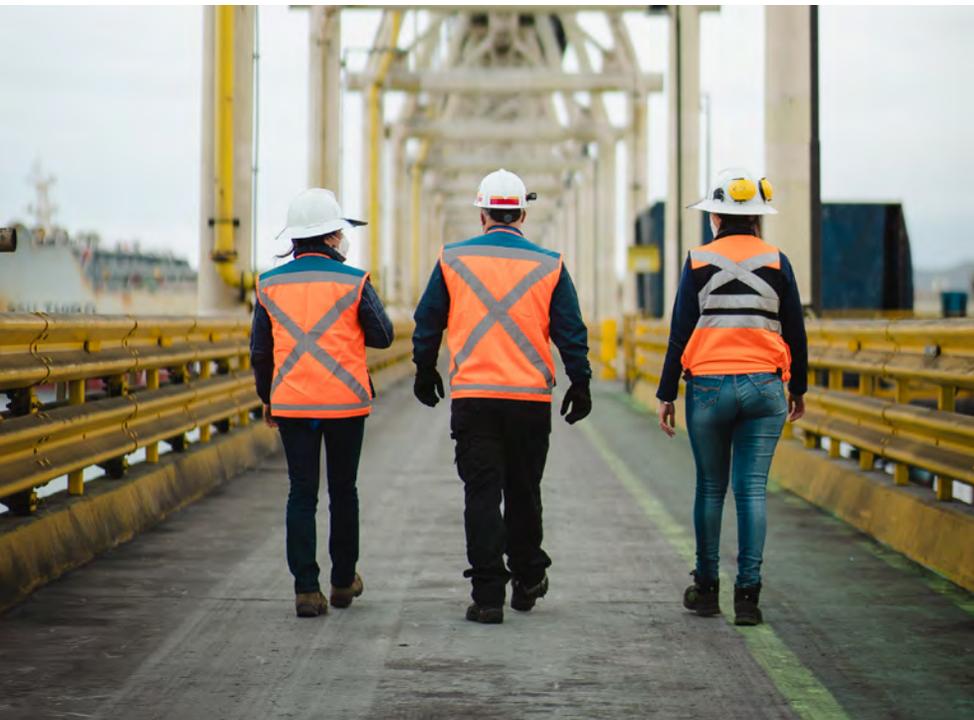
17.17

### GOAL

Achieve a score of 60% or higher in the Community SSIndex (external social pillar) by 2026.

### STARTING POINT

51% achieved in 2021.



### ODS

5.5

### GOAL

Increase the representation of women to 17% in the total workforce and to 22% in leadership positions by 2026.

### STARTING POINT

14% representation in the  
total workforce and  
18% in leadership

## / ENVIRONMENTAL CERTIFICATIONS

At CAP Group, we are strongly committed to environmental protection. Therefore, our operational companies have certifications for their Environmental Management Systems. Specifically, Puerto Las Losas, Compañía Siderúrgica Huachipato, and companies within the Cintac Group.

These subsidiaries account for 35.8% of CAP Groups's revenues for 2022. The certificates for these environmental certifications are provided in the annexes of this document.

## / BIODIVERSITY

We are dedicated to safeguarding and conserving biodiversity across all our operations. We will strive to avoid and minimize impacts on natural ecosystems, including those with high biodiversity. We will implement measures to preserve and restore local flora and fauna, while also respecting natural ecosystems and promoting sustainable practices.

As part of our environmental approval processes, our

operations perform biodiversity risk assessments. These assessments evaluate the potential impacts that projects could have on the flora and fauna present in each location and adjacent areas. Any potential risks to biodiversity are identified and outlined in environmental assessment documents, along with corresponding action plans to mitigate these impacts.

## / TAILINGS - CMP

### → Tailings Production at our subsidiary CMP

	2019	2020	2021	2022
Volume (m <sup>3</sup> )	3,824,000	4,362,000	3,380,000	5,024,000
Weight (tons)	10,324,800	11,777,400	9,126,000	13,564,800

Data estimated based on an average density of 2.7 ton/m<sup>3</sup>.

### Tailings Commitment

Based on the requirements of the Chilean environmental regulations, our operational company CMP has the following commitments regarding tailings for their operations:

- Implementation of the global industry standard for tailings management (GISTM), considering CMP's internal timelines.
- Minimizing impacts and risks by responsibly selecting, designing, and constructing operations.
- Adherence to major international standards and best practices for tailings dam management, based on Chilean regulations and the GISTM.
- Avoidance of riverine/submarine tailings disposal in new projects.



### Tailings Management

Regarding tailings management, and in accordance with the requirements of the Chilean environmental regulations, our operational company CMP has the following aspects for its own operations:

- Having the support of a record engineer, with technical competencies aligned with the magnitude of their responsibility, maintaining direct communication with the company's executive area.
- Development of plans for tailings storage facilities throughout the mine's lifespan, based on requirements from the National Geology and Mining Service.
- Implementation of surveillance and monitoring systems to manage risks throughout all stages of the tailings dams/deposits lifecycle, in accordance with the National Geology and Mining Service's requirements.
- Emergency preparedness and response plans.
- Procedures for the dismantlement and closure of tailings facilities, as required by the National Geology and Mining Service.
- Independent audits and evaluation of tailings facility management, involving annual audits that may require environmental authorities.
- Reporting of tailings dam failures, as part of quarterly information provided to the National Geology and Mining Service.

### → Tailings Risk Potential

	Total number of dams/deposits	Number of dams/deposits categorized with high risk (extreme and very high according to GISTM)	Percentage of dams/deposits categorized high risk
Active use (generating and storing tailings)	2	2	100%
Inactive (includes dams/deposits with maintenance activities or closed)	1	0	0%
Planned or under development dams/deposits	1	0	0%

### Acid Rock Drainage Management

Regarding the management of Acid Rock Drainage (ARD), and based on the requirements of Chilean environmental regulations, CMP's tailings management system also includes:

- Development of an ARD management plan for site operations during the feasibility phase.
- Public disclosure of potential sources of ARD, such as waste rock and tailings at individual mines, through the publication of studies on the environmental assessment service's website [www.sea.gob.cl](http://www.sea.gob.cl).



# 03 Employees



In our structure, we focus on social fields where we can make a significant impact. Below, we provide a detailed description of our measures:

- Employee performance evaluations are conducted at least once a year, except for the CINTAC Group, where evaluations are conducted continuously and semiannually.
- In addition to providing parental leave to the primary caregiver as required by law (6 weeks of prenatal and 24 weeks of postnatal leave), our Operational Company CINTAC Group is also implementing an additional 10 days of paid parental leave for the non-primary caregiver.
- Employee satisfaction is measured using metrics related to job satisfaction, happiness, and stress, primarily in our operational companies Cintac, Infraestructura, CMP, and CSH. Job satisfaction is solely measured by Puerto Las Losas.



The voluntary turnover rate in Grupo CAP was

**2.5%**

in the year 2022.



## / GENDER EQUITY

In the year 2022, significant progress was observed in the representation of women in managerial and STEM roles within the group. According to the data, 19.5% of women hold managerial positions in revenue-generating functions, while 28.3% of women are in STEM roles.

CAP Group has demonstrated leadership in Chile in this area, being one of the first companies to have a Board of Directors with a high level of female representation. Furthermore, our operational company CMP is the first mining company operating in Chile to have achieved a Gender-Balanced Executive Committee.

### 2025 GOALS

### ACHIEVEMENT PROGRESS

Reach a 45% representation of women in executive positions.

Currently, CAP Group has achieved a significant representation of 42.85% women in these positions.

Reach a 40% representation of women in junior management roles.

Based on the efforts made during 2022, this target has already been surpassed, as CAP S.A. achieved a representation of 46.6% women in these roles.

Reach a 40% representation of women in senior management roles.

The company reached a 20% representation of women in senior management positions in the year 2022, falling below the established goal.

Reach a 40% representation of women in STEM positions.

This goal was greatly exceeded, as CAP S.A. achieved a representation of 54.17% women in STEM positions.

## / HEALTH AND SAFETY

At CAP Group, we are firmly committed to safeguarding the occupational health and safety of our workers.

This commitment is demonstrated by our ISO 45001 certification, reflecting our responsibility in this area. To attain this certification, alongside our regular procedures, we engage workers or their representatives in monthly meetings and establish priorities and action plans concerning this matter.

Our operational companies conduct regular internal inspections in all their facilities, which are carried out by administrative employees. The inspection outcomes are directly tied to variable compensation components for their employees.

In the event of any accident or incident, the Safety and Hygiene departments

of each operational company are responsible for investigating the incident. Based on these investigations, on-the-job training is conducted for workers to prevent the recurrence of such events.

Presently, all our operational companies adhere to the health and safety criteria introduced during acquisitions and contractual requirements.

To showcase our commitment, our subsidiaries TASA and CINTAC Group have committees where union representatives, among others, participate. These committees review incidents and accidents, implement improvements in facilities, and gather suggestions from the operations.



## / HIGHLIGHTED TRAINING PROGRAMS

As part of the efforts to develop the capabilities of our employees, CAP Group has developed the following programs in 2022:

### / SUPERVISOR PROGRAM: THIS PROGRAM IS OFFERED BY OUR SUBSIDIARY CSH

This training program is designed to develop leadership and team management competencies, that allow to strengthen performance, operations, and enhance skills required for our leaders.

CSH requires leaders who possess expertise in the following areas:

#### Technical Field

- Safety
- Environment
- Contract management

#### Behavioral Field

- Communication
- Conflict resolution
- Gender equity

#### Strategic Field

- Operational excellence
- Commercial strategy
- Project management

These aspects are highly relevant for CSH to fulfill the business demands. This approach helps standardize knowledge by establishing a foundational set of content that every CSH leader should possess.

The “rate of mobility of trained personnel” is tracked, which considers transfers or internal promotions of workers trained in the program and period in contrast to the total number of workers trained in that period. **In 2022, the overall mobility rate is 20%. Specifically, 11 workers experienced mobility and/or promotions out of the 35 individuals who participated in this program.**

### / PROFESSIONAL ENGLISH PROGRAM

The goal of this program is to contribute to the acquisition of necessary skills to reach a C1 level of English proficiency among our executives. This enables CAP teams to operate at an international level, covering academic, business opportunities, and other aspects.

The training aims to enable executives to directly interact and communicate, without relying on third parties, which strengthens business relationships and ensures smooth communication in international settings.

One of the anticipated outcomes is the cultivation of multicultural intelligence among our CEOs and executives. This enables them to better comprehend cultural nuances and adapt adeptly to various scenarios, facilitating deal closures and reducing negotiation times. The elimination of the need for translators also contributes to cost reduction, leading to increased efficiency in business operations.

# 04 / Local Commitment



The operational companies of the CAP Group have different methodologies for carrying out their territorial management depending on the type of activity they perform. Some noteworthy information in this regard is detailed below:



## / LOCAL EMPLOYEES

In 2022, high-level positions are primarily filled by local individuals in CAP Infraestructura. In CSH, this number is 35 individuals, while in CMP, it's 13 out of a total of 15.

## Mine Closure Plans

CMP adheres to Chilean legislation related to mine closure plans, Law 20.551, and its regulation Decree No. 41/2012, which mandate the assurance of the physical and chemical stability of mining residues, as well as their safety conditions, the dismantling of non-residual facilities, and good practices.

Additionally, according to Chilean legislation, a mine closure plan must be developed during the environmental assessment process. This plan involves a review of environmental impacts, and a sectoral permit is associated with conceptual, basic, or detailed engineering, depending on the mine's operational lifespan.

This plan includes a risk analysis of the remaining mining facilities at the closure of the operational term, from which the measures for final closure are derived.

Currently, CMP has obtained approval for all its mine closure plans. These plans are periodically updated in the event of significant changes or due to a new environmental assessment.

Furthermore, according to the law, mining companies are required to provide financial guarantees progressively and through a financial instrument until the end of the mine's operational life. This ensures compliance with the detailed closure measures of each Closure Plan. In consideration of the above details, CMP complies with the current national legislation, with all its financial guarantees approved for the current period.



## / INDIGENOUS PEOPLES AND CULTURAL PRESERVATION

Regarding the cultural preservation of indigenous peoples, CAP Group maintains various approaches that align with the needs of the territories where our companies operate.

For CMP, the relationship strategy centres on proactive dialogue with indigenous communities through a collaborative approach. This approach seeks to establish a solid foundation for a possible smooth and mutually beneficial process, in case some of our operations in the future consider generating significant impacts or if relocation or resettlement is required. It is important to highlight that any potential relocation would be addressed with the prior informed consent of the communities involved, in compliance with the legal standards of this process. In terms of claims

management mechanisms, CMP maintains a uniform standard for both non-indigenous and indigenous communities, with the aim of internally managing the development of a satisfactory response towards the community.

As of now, CAP Group has not required any human relocations or resettlements. Consequently, no audits or assessments have been conducted concerning these matters.



# 05 Integrity and Compliance



## Due Diligence and Human Rights Risk Assessment at CAP S.A.

CAP Group has conducted a two-stage due diligence process for CAP S.A.:

- **The first stage** occurred in 2021 and focused on reviewing and assessing how human rights issues are integrated into the company's policies and management.
- **The second stage**, carried out in 2022, involved identifying key human rights risks, assessing their impact based on the criteria of the UN Guiding Principles on Business and Human Rights, identifying controls, and finding areas for improvement in those controls, along with the responsible parties for implementation.

Additionally, during 2022, the due diligence evaluation was initiated for the Infrastructure companies: Aguas CAP, Puerto Las Losas, and Tecnocap, following the same workflow.

The work plan is focused on developing mitigation plans for assessed critical risks.

Currently, only CAP S.A. has mitigation plans in place, representing 5% of critical risks. Concerning suppliers, only 2% of our total suppliers have been evaluated in the last 3 years.



## / SUPPLY CHAIN

Each operating company within the CAP Group has policies, systems, and processes to manage its supply chain. This aim to create shared value while adapting to operational realities and national context.

The integration of sustainability variables and criteria is a crucial aspect of this management, as demonstrated by the following actions taken by our Operating Companies:

### 01

CMP applies ESG criteria in bidding evaluations, beyond economic and technical aspects.

### 02

Contractors of CMP are evaluated considering criteria such as hiring local labor and proper waste management.

### 03

Both CMP and CSH have an on-site evaluation process for suppliers, including validation of evidence. In case of identifying gaps, corrective plans are developed.

### 04

The Upper Management of CSH is formally responsible for the implementation of the company's Supplier ESG Program.

### 05

Workshops and foundries that provide services to CSH are evaluated not only for technical capabilities but also for ESG variables such as safety and the environment.

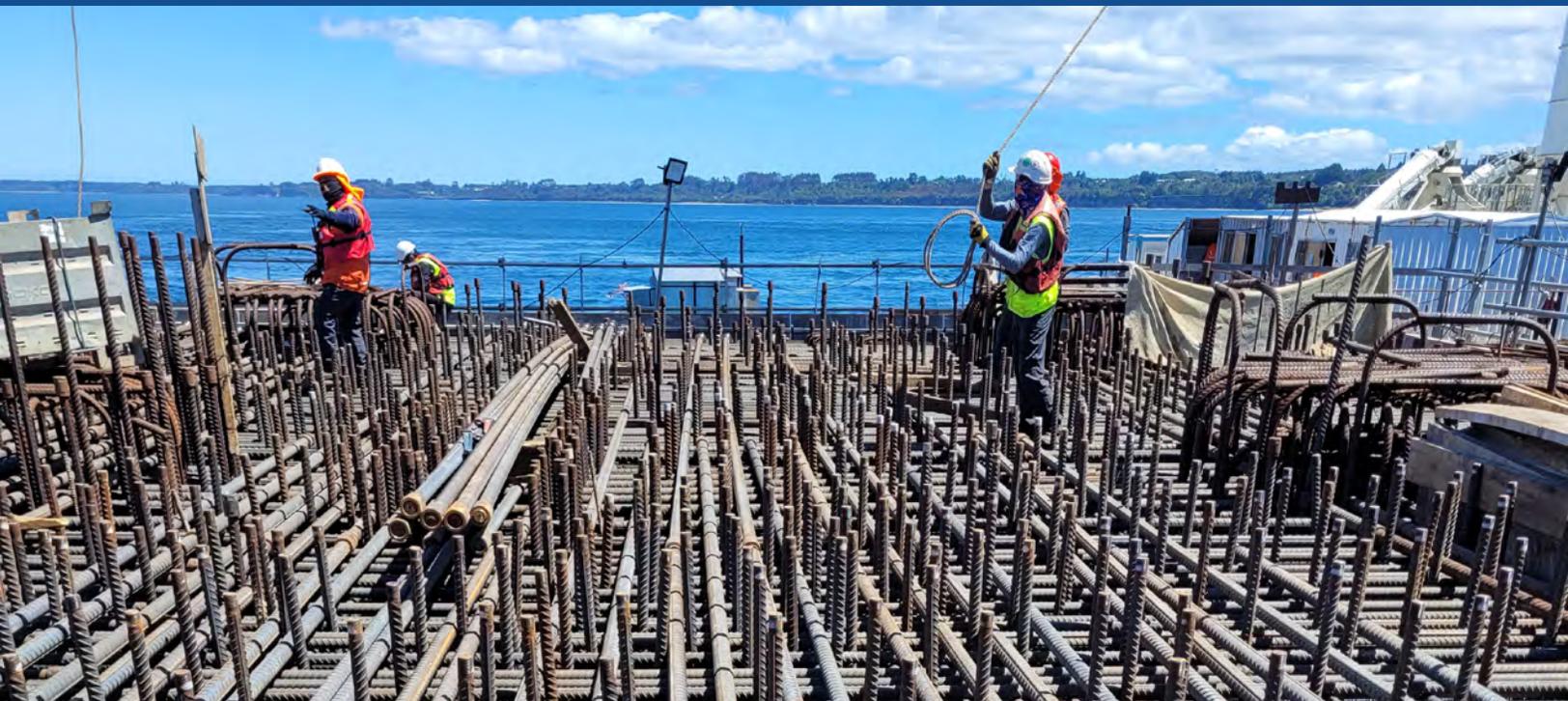
The companies within the CAP Group systematically monitor their suppliers to identify and focus on the most relevant ones.

For instance, in CMP, a threshold of USD\$500 thousand is set to determine the relevance of contracts. On the other hand, the companies within the CINTAC Group evaluate and identify ESG variables in their suppliers to prevent risks associated with the supply chain. Thus, suppliers of the operating companies must adhere to environmental, social, and governance guidelines for responsible behavior.

These monitoring processes respond to the inherent risks of each company's geographical location, considering local issues in each country and area, as well as the unique aspects of the mining and/or steel industry sector. Companies use different criteria to determine supplier

relevance or criticality. For instance, CSH considers suppliers critical if they could disrupt the company's production due to unplanned events such as strikes, fires, or natural disasters. This includes sole suppliers, high-volume, and critical component suppliers. Both CMP and the CINTAC Group disclose that their purchases represent an average of 49% for their 342 direct critical suppliers.

Out of the total suppliers evaluated by CMP and CSH in 2022, 98 suppliers were determined to have current and/or potential substantial impacts. Corrective plans were established with 37 of them.



# 06 / Complementary Documents



CLG Chile letter  
Global Compact Letter  
ISO 14001 Certificates



Santiago, 16th August 2023

Mrs  
Diana Arndt  
Head of Sustainability  
Present

Dear Diana,

We hereby confirm that CAP is a member of CLG Chile, Corporate Leaders Group for Climate Action, from 2017 to date. Our purpose is to move towards a world of low climate risk, combining academia and business through the development of thought and action and, in collaboration with policy makers, strengthen policies and strategies aimed at scaling up solutions to face the climate challenge and lead them into practice, to transform them into sustainable development opportunities for the country.

CLG Chile members have access to high-level technical events and conferences, as well as to concrete opportunities to participate and contribute into the development of public policies and other activities related to climate action in the country. All these activities and participations have the objective of contributing to Chile's commitment towards the Paris Agreement, to achieve carbon neutrality and a climate resilient society by 2050 at the latest.

CAP's participation and contribution, and its leadership role, have been essential to promote our initiatives in favor of climate action in our country.

Your sincerely,

María Teresa Ruiz -Tagle  
Executive Director  
CLG Chile  
Corporate Leaders Group for Climate Action





Santiago, August 2023

Mr. Jorge Lagos  
Manager of Corporate Affairs and Sustainability  
CAP S.A.  
Present

Dear Mr. Jorge,

It is an honor to express our sincere recognition for your firm commitment and participation in the Ten Principles of the Global Compact regarding human rights, labor, environment, and anti-corruption.

CAP S.A.'s decision to actively support these principles is a significant achievement, demonstrating the integration of these values into your strategy, culture, and operations. Your willingness to collaborate in promoting the development goals set by the United Nations, particularly the Sustainable Development Goals, is a testament to your dedication to sustainability and corporate responsibility.

We deeply value your dedication to transparency and the implementation of responsible business practices. CAP S.A.'s commitment to clearly communicate its adherence to the Ten Principles of the Global Compact to both stakeholders and the public demonstrates your dedication to public accountability and the promotion of universal values.

Once again, we extend our sincere gratitude to CAP S.A. for their commitment. We look forward to continuing our collaboration with you in this shared mission to move towards a more sustainable and prosperous future for all.

Sincerely,



**Margarita Ducci Budge**  
Directora Ejecutiva  
Red Pacto Global Chile (ONU)

# ABS Quality Evaluations

## Certificado de Conformidad

Este documento certifica que el Sistema de Gestión Ambiental de:

**PUERTO LAS LOSAS S.A.**

**OF PRINCIPAL**

**Las Losas S/N**

**Huasco**

**Chile**

ha sido evaluado por ABS Quality Evaluations, Inc. y fue confirmado en conformidad con los requisitos establecidos por:

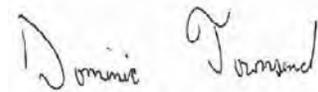
**ISO 14001:2015**

El Sistema de Gestión Ambiental es aplicable a:

**EJECUCIÓN DE OPERACIONES PORTUARIAS TALES COMO CARGA, DESCARGA Y ALMACENAMIENTO DE BIENES MATERIALES DE NUESTROS CLIENTES DEL AMBITO NACIONAL E INTERNACIONAL.**

**EXECUTION OF PORT OPERATIONS SUCH AS LOADING, UNLOADING AND STORAGE OF MATERIAL PROPERTY OF OUR CUSTOMERS OF THE NATIONAL AND INTERNATIONAL FIELD.**

Certificado No.	60335
Fecha de Certificaci• n:	20 Enero 2020
Fecha Efectiva:	18 Enero 2023
Fecha de Expiraci• n:	19 Enero 2026
Fecha de Emisi• n:	18 Enero 2023



Dominic Townsend, President



La validez de este certificado est• basada en la realizaci• n de auditor• as peri• dicas al sistema de gesti• n, dentro del alcance arriba descrito, y est• sujeto a una oportuna comunicaci• n por escrito a ABS Quality Evaluations, Inc. de cambios significativos en el sistema de gesti• n o sus elementos.

ABS Quality Evaluations, Inc. 1701 City Plaza Drive, Spring, TX 77389, U.S.A.

La validez de este certificado puede confirmarse en [www.abs-qe.com/cert\\_validation](http://www.abs-qe.com/cert_validation).

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 DNV

# CERTIFICADO DEL SISTEMA DE GESTIÓN

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Número de certificado:  
10000419036-MS-C-RvA-CHL

Fecha Inicial de Certificación:  
28 agosto 2009

Validez:  
13 enero 2021 – 13 enero 2024

Se certifica que el sistema de gestión de

## **Compañía Siderúrgica Huachipato S.A.**

Avda. Gran Bretaña 2910., Talcahuano, CL

y las sedes que se mencionan en el Anexo que acompaña a este certificado

es conforme a la Norma del Sistema de Gestión Medioambiental:

**ISO 14001:2015**

Este certificado es válido para el siguiente campo de aplicación:

**Producción y comercialización de acero en barras al carbono, barras aleadas y palanquillas.**

Lugar y fecha:  
São Paulo, 30 agosto 2021

Oficina de emisión:  
DNV - Business Assurance  
Av Alfredo Egydio de Souza Aranha, 100 - Bloco D-  
3º andar - Vila Cruzeiro, 04726-170, São Paulo, Brazil



Mauricio Venturin  
Representante de la dirección

El incumplimiento de las condiciones establecidas en el Contrato puede dar lugar a la cancelación del certificado.

ENTIDAD ACREDITADA: DNV Business Assurance B.V., Zwolseweg 1, 2994 LB, Barendrecht, Netherlands - TEL: +31(0)102922689. www.dnv.com/assurance

## DNV

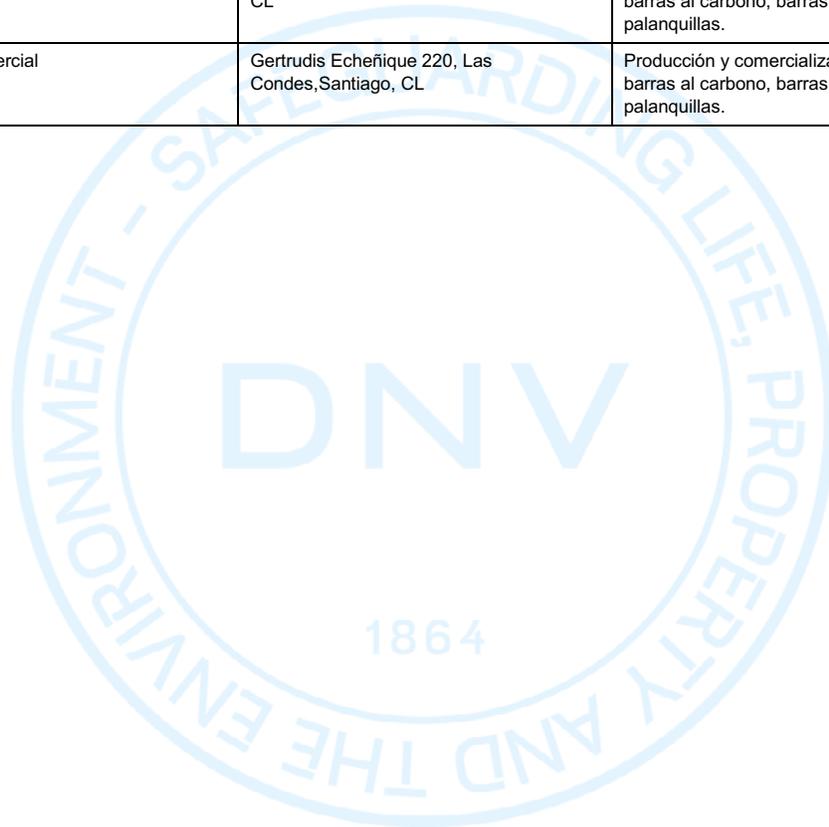
Número de certificado: 10000419036-MSC-RvA-CHL  
Lugar y fecha: São Paulo, 30 agosto 2021

### Anexo del certificado

#### Compañía Siderúrgica Huachipato S.A.

Los centros de trabajo incluidos en la certificación son los siguientes:

Nombre	Dirección	Alcance
Compañía Siderúrgica Huachipato S.A.	Avda. Gran Bretaña 2910., Talcahuano, CL	Producción y comercialización de acero en barras al carbono, barras aleadas y palanquillas.
Gerencia Comercial	Gertrudis Echeñique 220, Las Condes, Santiago, CL	Producción y comercialización de acero en barras al carbono, barras aleadas y palanquillas.



El incumplimiento de las condiciones establecidas en el Contrato puede dar lugar a la cancelación del certificado.

ENTIDAD ACREDITADA: DNV Business Assurance B.V., Zwolseweg 1, 2994 LB, Barendrecht, Netherlands - TEL: +31(0)102922689. [www.dnv.com/assurance](http://www.dnv.com/assurance)

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 DNV

# CERTIFICADO DEL SISTEMA DE GESTIÓN

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Número de certificado:  
263391-2018-AE-ARG-RvA

Fecha Inicial de Certificación:  
16 agosto 2016

Validez:  
17 agosto 2022 – 16 agosto 2025

Se certifica que el sistema de gestión de

**CINTAC S.A.I.C.**

CAMINO MELIPILLA 8920, Maipu, ., Santiago, CL

y las sedes que se mencionan en el Anexo que acompaña a este certificado

es conforme a la Norma del Sistema de Gestión Medioambiental:

**ISO 14001:2015**

Este certificado es válido para el siguiente campo de aplicación:

**Elaboración y ventas de productos de acero conformado en frío. Elaboración de aceros recubiertos, prepintados, paneles galvanizados. Procesamiento y comercialización de aceros planos dimensionados. Elaboración de vigas.**

Lugar y fecha:  
São Paulo, 04 agosto 2022

Oficina de emisión:  
DNV - Business Assurance  
Av Roque Petroni Junior, Nr 850 – 6º andar,  
Jardim das Acácias, 04707-000, São Paulo,  
SP, Brazil



**Mauricio Venturin**  
Representante de la dirección

El incumplimiento de las condiciones establecidas en el Contrato puede dar lugar a la cancelación del certificado.

ENTIDAD ACREDITADA: DNV Business Assurance B.V., Zwolseweg 1, 2994 LB, Barendrecht, Netherlands - TEL: +31(0)102922689. www.dnv.com/assurance

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**DNV**

Número de certificado: 263391-2018-AE-ARG-RvA  
Lugar y fecha: São Paulo, 04 agosto 2022

## Anexo del certificado

### CINTAC S.A.I.C.

Los centros de trabajo incluidos en la certificación son los siguientes:

Nombre	Dirección	Alcance
CINTAC S.A.I.C.	CAMINO MELIPILLA 8920, Maipu, ., Santiago, CL	Elaboración y ventas de productos de acero conformado en frío. Elaboración de aceros recubiertos, prepintados, Paneles galvanizados. Procesamiento y comercialización de aceros planos dimensionados. Elaboración de vigas.
CINTAC S.A.I.C.	Camino a Lonquén 11011, Maipú, ., Santiago, CL	Elaboración y ventas de productos de acero conformado en frío. Elaboración de aceros recubiertos, prepintados, paneles galvanizados. Procesamiento y comercialización de aceros planos dimensionados. Elaboración de vigas.

El incumplimiento de las condiciones establecidas en el Contrato puede dar lugar a la cancelación del certificado.

ENTIDAD ACREDITADA: DNV Business Assurance B.V., Zwolseweg 1, 2994 LB, Barendrecht, Netherlands - TEL: +31(0)102922689. [www.dnv.com/assurance](http://www.dnv.com/assurance)

Certificado CL17/818842687

El sistema de gestión de

# PROMET SERVICIOS SPA

Av. Del Valle Sur N° 650, Of. 31 y 41, Ciudad Empresarial, Huechuraba, Santiago, Región Metropolitana, Chile

ha sido evaluado y certificado que cumple con los requisitos de

**ISO 14001:2015**

Para las siguientes actividades

Diseño, desarrollo, fabricación, montaje, operación y mantenimiento de infraestructura modular habitacional e industrial y plantas modulares para el tratamiento de aguas residuales. servicios de mantenimiento y operación de hoteles y plantas de tratamiento de aguas servidas, todas modulares.

Este certificado es válido desde 18 de junio de 2023 hasta 17 de junio de 2026 y su validez está sujeta al resultado satisfactorio de las auditorías de seguimiento.

Edición 5. Certificada desde 18 de junio de 2011

Actividades certificadas realizadas por emplazamientos adicionales enumerados en las páginas siguientes.

Registro Nro. SGA 013

Registro Nacional Nro. B-831



Autorizado por  
Mario Ferrari

SGS Certificación SpA.  
Puerto Madero 130, Pudahuel, Santiago, Chile  
t + 56 228989500 - www.sgs.com



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Certificado CL17/818842687, continúa

# PROMET SERVICIOS SPA



## ISO 14001:2015

Edición 5
<b>Emplazamientos adicionales</b>
PROMET SERVICIOS SPA Av. Del Valle Sur N° 650, Of. 31 y 41, Ciudad Empresarial, Huechuraba, Santiago, Región Metropolitana, Chile
PROMET SERVICIOS SPA Carretera General San Martín Km. 42,5, Lote 9 y 10, Colina, Santiago, Región Metropolitana, Chile



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[www.cap.cl](http://www.cap.cl)